

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0047

2020Department of the Treasury
Internal Revenue Service

u Do not enter social security numbers on this form as it may be made public.
u Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2020 or tax year beginning

, and ending

Name of foundation CHRISTINE E. MOSER FOUNDATION		A Employer identification number 06-1353117
Number and street (or P.O. box number if mail is not delivered to street address) C/O SCALISE, 35 PEARL ST. SUITE 301		B Telephone number (see instructions) 860-229-0369
City or town, state or province, country, and ZIP or foreign postal code NEW BRITAIN CT 06051		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change </div> <div> <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) u \$ 707,358 (Part I, column (d), must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule) ...				
	2 Check u <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	44	44		
	4 Dividends and interest from securities	16,404	16,404		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	40,674			
	b Gross sales price for all assets on line 6a	544,573			
	7 Capital gain net income (from Part IV, line 2)		40,674		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) STMT 1	1,239	1,239		
	12 Total. Add lines 1 through 11	58,361	58,361	0	
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE STMT 2	556	278		278
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) STMT 3	629			629
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 4	483	183		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att. sch.) STMT 5	8,742	6,971		1,771
	24 Total operating and administrative expenses. Add lines 13 through 23	10,410	7,432	0	2,678
	25 Contributions, gifts, grants paid	22,000			22,000
	26 Total expenses and disbursements. Add lines 24 and 25	32,410	7,432	0	24,678
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	25,951			
	b Net investment income (if negative, enter -0-)		50,929		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing	6,419	1,398	1,398
	2 Savings and temporary cash investments	42,049	20,972	20,972
	3 Accounts receivable u			
	Less: allowance for doubtful accounts u			
	4 Pledges receivable u			
	Less: allowance for doubtful accounts u			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) u			
	Less: allowance for doubtful accounts u 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) SEE STMT 6	488,398	540,447	684,988
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment: basis u			
Liabilities	Less: accumulated depreciation (attach sch.) u			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment: basis u			
	Less: accumulated depreciation (attach sch.) u			
	15 Other assets (describe u)			
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	536,866	562,817	707,358
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe u)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow FASB ASC 958, check here u <input checked="" type="checkbox"/> X and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	536,866	562,817	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here u <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	536,866	562,817	
	30 Total liabilities and net assets/fund balances (see instructions)	536,866	562,817	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	536,866
2 Enter amount from Part I, line 27a	2	25,951
3 Other increases not included in line 2 (itemize) u	3	
4 Add lines 1, 2, and 3	4	562,817
5 Decreases not included in line 2 (itemize) u	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 29	6	562,817

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE WORKSHEET			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 10px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> 	2	40,674
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	-28,597

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 – DO NOT COMPLETE.****1** Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
Reserved			
Reserved			
Reserved			
Reserved			
Reserved			

2 Reserved	2	
3 Reserved	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	708
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0
3	Add lines 1 and 2	3	708
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	708
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	452
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	452
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	2
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	258
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax u Refunded u	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. u \$ _____ (2) On foundation managers. u \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. u \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. u CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address u N/A	X	
14 The books are in care of u ROBERT A. SCALISE, JR. Telephone no. u 860-229-0369 35 PEARL ST, SUITE 301 Located at u NEW BRITAIN CT ZIP+4 u 06051		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here u <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year u 15		
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country u		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b	
Organizations relying on a current notice regarding disaster assistance, check here u <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? N/A	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years u 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. u 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT A. SCALISE JR. 35 PEARL STREET, SUITE 301 NEW BRITAIN CT 06051	TRUSTEE 1.00	0	0	0
MARY ENGELS 35 PEARL STREET, SUITE 301 NEW BRITAIN CT 06051	TRUSTEE 1.00	0	0	0
MICHELE VAN EPPS 35 PEARL STREET, SUITE 301 NEW BRITAIN CT 06051	TRUSTEE 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **u****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 7	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	639,119
b	Average of monthly cash balances	1b	35,418
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	674,537
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	674,537
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	10,118
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	664,419
6	Minimum investment return. Enter 5% of line 5	6	33,221

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	33,221
2a	Tax on investment income for 2020 from Part VI, line 5	2a	708
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	708
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	32,513
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	32,513
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	32,513

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	24,678
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	24,678
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	24,678

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				32,513
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2020:				
a From 2015				
b From 2016				
c From 2017				
d From 2018				
e From 2019				
f Total of lines 3a through e				
4 Qualifying distributions for 2020 from Part XII, line 4: u \$ 24,678				
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2020 distributable amount				24,678
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount – see instructions				
f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021				7,835
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018				
d Excess from 2019				
e Excess from 2020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling u

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ **u** if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:
SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:
SEE STATEMENT 10

c Any submission deadlines:
ONGOING

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
ROCKY HILL, CT AREA AND OTHER SELECTED ORGANIZATIONS.

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
GOODSPEED OPERA HOUSE FOUND PO BOX A EAST HADDAM CT 06423	NONE	501C3	THEATRE IMPVTS	1,500
LITERACY VOLUNTEERS 20 HIGH STREET NEW BRITAIN CT 06051	NONE	501C3	COMMUNITY	7,500
FRIENDS OF DINOSAUR PARK AND ABORET 400 WEST STREET ROCKY HILL CT 06067	NONE	501C3	EDUCATIONAL	1,500
ROCKY HILL HISTORICAL SOCIETY INC PO BOX 185 ROCKY HILL CT 06067	NONE	501C3	PROGRAMS & EXHIBITS	1,500
CONNECTICUT PUBLIC LIBRARY 231 CAPITOL AVENUE HARTFORD CT 06106	NONE	501C3	PROGRAMS	5,000
CT COUNCIL FOR PHILANTHROPY 75 CHARTER OAKE AVE HARTFORD CT 06106	NONE	501C3	PROGRAMS	5,000
Total			u 3a	22,000
b <i>Approved for future payment</i> N/A				
Total			u 3b	

Capital Gains and Losses for Tax on Investment Income		2020
Form 990-PF	For calendar year 2020, or tax year beginning _____, and ending _____	
Name CHRISTINE E. MOSER FOUNDATION		Employer Identification Number 06-1353117

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) ABBVIE INC	P	05/12/20	10/23/20
(2) ACTIVISION BLIZZARD INC	P	04/16/20	11/24/20
(3) AGNICO EAGLE MINES LTD	P	04/24/20	11/17/20
(4) AKAMAI TECHNOLOGIES	P	05/12/20	11/17/20
(5) AMERICAN EXPRESS CO	P	06/03/20	08/21/20
(6) ANGLOGOLD ASHANTI LTD	P	08/21/20	11/17/20
(7) BANK OF AMERICA CORPORATION	P	04/29/19	03/20/20
(8) BLACKROCK INC	P	02/19/20	03/20/20
(9) BLACKROCK TAXABLE MUNI BOND	P	03/25/20	11/17/20
(10) BLACKSTONE GROUP INC	P	10/03/19	01/29/20
(11) CVR ENERGY INC	P	04/11/19	03/20/20
(12) CITRIX SYSTEMS INC	P	05/12/20	06/30/20
(13) CLOROX CO	P	02/19/20	05/21/20
(14) CONSTELLATION BRANDS INC	P	04/16/20	10/23/20
(15) DIGITAL REALTY TRUST INC	P	05/12/20	11/24/20

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 5,571		6,061	-490
(2) 6,189		5,488	701
(3) 6,651		5,600	1,051
(4) 6,077		5,774	303
(5) 5,479		5,970	-491
(6) 5,557		6,661	-1,104
(7) 3,792		5,947	-2,155
(8) 3,896		6,280	-2,384
(9) 4,267		3,837	430
(10) 7,965		6,045	1,920
(11) 2,623		5,730	-3,107
(12) 5,295		5,686	-391
(13) 7,771		6,423	1,348
(14) 6,108		5,484	624
(15) 5,658		5,697	-39

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-490
(2)			701
(3)			1,051
(4)			303
(5)			-491
(6)			-1,104
(7)			-2,155
(8)			-2,384
(9)			430
(10)			1,920
(11)			-3,107
(12)			-391
(13)			1,348
(14)			624
(15)			-39

Capital Gains and Losses for Tax on Investment Income		2020
Form 990-PF	For calendar year 2020, or tax year beginning _____, and ending _____	
Name CHRISTINE E. MOSER FOUNDATION		Employer Identification Number 06-1353117

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) DOMINION ENERGY INC	P	05/12/20	11/24/20
(2) DOMINOS PIZZA INC	P	04/16/20	12/09/20
(3) DOW INC	P	11/04/19	03/30/20
(4) FIRSTENERGY CORP	P	02/19/20	04/30/20
(5) FRANCO NEVADA CORP	P	04/24/20	11/18/20
(6) GILEAD SCIENCES INC	P	03/30/20	07/29/20
(7) HESS CORPORATION	P	09/20/19	03/20/20
(8) HEWLETT PACKARD ENTERPRISE	P	11/04/19	02/19/20
(9) KB HOME	P	02/19/20	03/30/20
(10) MCDONALD'S CORPORATION	P	06/03/20	12/09/20
(11) MODERNA INC	P	05/12/20	08/21/20
(12) NETFLIX INC	P	04/16/20	11/18/20
(13) NORTONLIFELOCK INC	P	08/21/20	11/24/20
(14) PHILLIPS 66	P	05/21/20	08/21/20
(15) PROCTER & GAMBLE	P	04/16/20	05/21/20

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 5,823		5,805	18
(2) 5,793		5,362	431
(3) 3,208		6,154	-2,946
(4) 5,094		6,473	-1,379
(5) 5,474		5,697	-223
(6) 4,871		5,085	-214
(7) 2,791		6,119	-3,328
(8) 5,348		6,168	-820
(9) 2,905		6,508	-3,603
(10) 6,676		6,169	507
(11) 5,960		5,700	260
(12) 5,793		5,321	472
(13) 5,389		6,655	-1,266
(14) 4,562		5,819	-1,257
(15) 5,145		5,550	-405

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			18
(2)			431
(3)			-2,946
(4)			-1,379
(5)			-223
(6)			-214
(7)			-3,328
(8)			-820
(9)			-3,603
(10)			507
(11)			260
(12)			472
(13)			-1,266
(14)			-1,257
(15)			-405

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2020
		For calendar year 2020, or tax year beginning _____, and ending _____		
Name CHRISTINE E. MOSER FOUNDATION			Employer Identification Number 06-1353117	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
(1) ROKU INC	P	10/08/19	06/03/20	
(2) SPDR INDEX SHARES FUNDS EURO	P	12/05/19	03/20/20	
(3) SHOPIFY INC	P	10/15/19	01/29/20	
(4) TJX COS INC	P	05/21/20	11/11/20	
(5) UNITED RENTAL SINC	P	11/04/19	03/30/20	
(6) VALERO ENERGY CORP	P	10/23/19	03/20/20	
(7) VALERO ENERGY CORP	P	05/12/20	08/21/20	
(8) VERIZON COMMUNICATIONS	P	05/12/20	11/11/20	
(9) WP CAREY INC	P	02/19/20	04/24/20	
(10) WILLIAMS COMPANIES INC	P	03/12/19	02/19/20	
(11) ZOOM VIDEO COMMUNICATIONS INC	P	03/30/20	11/18/20	
(12) CARLYLE GROUP INC	P	10/03/19	01/29/20	
(13) AMAZON COM INC	P	01/24/17	05/21/20	
(14) APPLES INC	P	10/22/14	07/29/20	
(15) BP ADR SPONSORED	P	03/12/19	03/20/20	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
(1) 5,927		6,053	-126	
(2) 20,059		30,826	-10,767	
(3) 8,054		5,874	2,180	
(4) 6,396		5,857	539	
(5) 3,964		6,126	-2,162	
(6) 2,536		6,080	-3,544	
(7) 4,594		5,634	-1,040	
(8) 6,336		5,797	539	
(9) 4,491		6,435	-1,944	
(10) 4,350		5,432	-1,082	
(11) 6,701		2,546	4,155	
(12) 8,226		6,034	2,192	
(13) 7,370		2,457	4,913	
(14) 12,064		3,316	8,748	
(15) 2,269		5,533	-3,264	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
(1)			-126	
(2)			-10,767	
(3)			2,180	
(4)			539	
(5)			-2,162	
(6)			-3,544	
(7)			-1,040	
(8)			539	
(9)			-1,944	
(10)			-1,082	
(11)			4,155	
(12)			2,192	
(13)			4,913	
(14)			8,748	
(15)			-3,264	

Capital Gains and Losses for Tax on Investment Income		2020
Form 990-PF	For calendar year 2020, or tax year beginning _____, and ending _____	
Name CHRISTINE E. MOSER FOUNDATION		Employer Identification Number 06-1353117

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) BARRICK GOLD CORPORATION	P	01/07/19	11/18/20
(2) BLACKROCK INCOME TR INC	P	02/08/19	04/30/20
(3) BLACKROCK INCOME TR INC	P	02/08/19	05/12/20
(4) BLACKROCK INCOME TR INC	P	02/08/19	05/21/20
(5) BLACKROCK TAXABLE MUNI BOND	P	11/30/18	11/20/20
(6) BRISTOL-MYERS SQUIBB	P	12/05/19	12/09/20
(7) CHEVRON CORPORATION	P	02/14/19	02/19/20
(8) CONOCOPHILLIPS	P	02/14/19	03/20/20
(9) COSTCO WHOLESALE CORP	P	05/31/17	12/09/20
(10) WALT DISNEY COMPANY	P	10/12/18	04/16/20
(11) HOME DEPOT INC	P	10/13/17	12/09/20
(12) INTUITIVE SURGICAL INC	P	10/12/18	04/16/20
(13) MCDONALD'S CORPORATION	P	11/28/12	04/16/20
(14) NEWMONT CORPORATION	P	04/18/19	11/18/20
(15) NEXTERA ENERGY INC	P	12/18/18	12/09/20

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 12,488		6,633	5,855
(2) 30,203		28,986	1,217
(3) 18,510		17,392	1,118
(4) 12,169		11,582	587
(5) 65,736		53,255	12,481
(6) 6,244		6,144	100
(7) 5,423		5,839	-416
(8) 2,398		4,410	-2,012
(9) 3,382		1,624	1,758
(10) 6,382		7,110	-728
(11) 8,493		5,295	3,198
(12) 6,508		6,826	-318
(13) 7,401		3,638	3,763
(14) 12,209		6,699	5,510
(15) 10,809		6,517	4,292

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			5,855
(2)			1,217
(3)			1,118
(4)			587
(5)			12,481
(6)			100
(7)			-416
(8)			-2,012
(9)			1,758
(10)			-728
(11)			3,198
(12)			-318
(13)			3,763
(14)			5,510
(15)			4,292

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2020
For calendar year 2020, or tax year beginning , and ending				
Name CHRISTINE E. MOSER FOUNDATION			Employer Identification Number 06-1353117	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	NVIDIA CORP	P	03/18/19	07/29/20
(2)	NUVEEN TAXABLE MUNI INCOME	P	11/30/18	11/17/20
(3)	PAYPAL HOLDINGS INC	P	11/22/17	12/09/20
(4)	SALESFORCE.COM INC	P	09/12/18	01/22/20
(5)	TWITTER INC	P	04/29/19	05/12/20
(6)	VISA INC	P	09/18/17	01/22/20
(7)	WALMART INC	P	11/22/17	12/09/20
(8)	WEYERHAEUSER COMPANY	P	04/11/19	04/16/20
(9)	BECTON DICKINSON & CO	P	07/15/09	06/03/20
(10)	JP MORGAN CHASE & CO	P	07/24/02	03/20/20
(11)				
(12)				
(13)				
(14)				
(15)				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 6,705		2,741	3,964
(2) 28,244		24,239	4,005
(3) 6,512		2,311	4,201
(4) 2,402		2,011	391
(5) 4,418		5,938	-1,520
(6) 2,292		1,160	1,132
(7) 7,413		4,823	2,590
(8) 3,966		5,745	-1,779
(9) 7,986		2,318	5,668
(10) 5,212		1,395	3,817
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			3,964
(2)			4,005
(3)			4,201
(4)			391
(5)			-1,520
(6)			1,132
(7)			2,590
(8)			-1,779
(9)			5,668
(10)			3,817
(11)			
(12)			
(13)			
(14)			
(15)			

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
PORTFOLIO INCOME	\$ 1,239	\$ 1,239	\$
TOTAL	\$ 1,239	\$ 1,239	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LEGAL FEES	\$ 556	\$ 278	\$	\$ 278
TOTAL	\$ 556	\$ 278	\$ 0	\$ 278

Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING AND TAX RETURNS PREPA	\$	\$	\$	\$
TOTAL	\$ 0	\$ 0	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
WEBSITE DESIGN	\$ 629	\$	\$	\$ 629
TOTAL	\$ 629	\$ 0	\$ 0	\$ 629

Federal Statements**Statement 4 - Form 990-PF, Part I, Line 18 - Taxes**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXCISE TAX	\$ 300	\$	\$	\$
FOREIGN TAX	183	183		
TOTAL	<u>\$ 483</u>	<u>\$ 183</u>	<u>\$ 0</u>	<u>\$ 0</u>

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
INSURANCE	926	926		
INVESTMENT FEES	4,884	4,884		
DUES & SUBSCRIPTIONS	610			610
MISCELLANEOUS	2,322	1,161		1,161
TOTAL	<u>\$ 8,742</u>	<u>\$ 6,971</u>	<u>\$ 0</u>	<u>\$ 1,771</u>

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ABBOTT LABS COM	\$	\$ 5,058	COST	\$ 7,007
ALPHABET INC CLA	4,958	4,958	COST	10,516
AMAZON.COM INC	4,915	2,458	COST	9,771
APPLE INC	7,263	3,946	COST	9,554
BECTON DICKINSON & CO	2,318		COST	
BLACKROCK DEB STRAT FD INC	53,287		COST	
BLACKROCK INCOME TR INC	59,302		COST	
CONOCOPHILLIPS CORP	4,410		COST	
COSTCO WHOLESALE CORP	5,051	3,427	COST	7,159
FACEBOOK INC COM	5,827	5,827	COST	8,195
HESS CORPORATION	6,119		COST	
HOME DEPOT	5,295		COST	

Federal Statements**Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
HUMANA INC	\$ 5,973	\$ 5,972	COST	\$ 8,616
JOHNSON & JOHNSON	9,118	9,117	COST	10,859
JP MORGAN CHASE & CO	1,395	6,243	COST	7,624
MCDONALDS CORP	3,638		COST	
MICROSOFT CORP	4,118	4,117	COST	10,009
NUCOR CORP		6,959	COST	6,542
NVIDIA CORP	5,482	2,741	COST	8,355
PAYPAL HLDGS INC	4,853	2,542	COST	7,729
REGENERON PHARMACEUTICALS INC		4,735	COST	4,831
THE BLACKSTONE GROUP LP	6,045		COST	
THERMO FISHER SCIENTIFIC	1,003	1,003	COST	11,645
WALMART STORES	9,357	4,534	COST	6,775
VERTEX PHARMACEUTICALS INC	4,911	4,911	COST	7,563
VISA INC CL A	4,429	3,269	COST	6,781
NUVEEN TAXABLE INCOME	53,791	29,545	COST	36,813
BOEING		6,504	COST	8,348
INTUITIVE SURGICAL INC	6,826		COST	
NEWMONT MINING	6,699		COST	
NEXTERA ENERGY	6,517		COST	
SALESFORCE.COM	7,424	5,413	COST	7,789
SOUTHWEST AIRLINES		6,788	COST	6,758
WALT DISNEY CO	7,110	7,009	COST	8,153
ADOBE INC	5,743	5,743	COST	10,002
BANK OF AMERICA	5,947		COST	
BARRICK GOLD CORPORATION	6,633		COST	
BP	5,533		COST	
BRISTOL-MYERS SQUIBB CO	6,144		COST	
CARLYLE GROUP LP	6,034		COST	
CHEVRON CORPORATION	5,839	13,546	COST	12,076
CVR ENERGY INC	5,730		COST	
DOW INC	6,154	6,122	COST	8,159
HEWLETT PACKARD ENTERPRISE CO	6,168		COST	
IRON MOUNTAIN INC	6,041	6,011	COST	5,601
QUALCOMM INC	6,162	6,162	COST	11,426
ROKU INC	6,053		COST	
SHOPIFY INC	5,874		COST	
TWITTER INC	5,938		COST	

Federal Statements**Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)**

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
UNITED RENTALS INC	\$ 6,126	\$ 5,965	COST	\$ 9,276
UNITEDHEALTH GROUP INC	5,850	5,850	COST	7,715
VALERO ENERGY CORP	6,080	6,810	COST	7,184
WEYERMAUSER COMPANY	5,745		COST	
WILLIAMS COMPANIES INC	5,562		COST	
ISHARES TRUST MSCI EMG MKT EFT	30,782	95,548	COST	107,990
SPDR INDEX SHARES FUNDS EURO STOXX	30,826		COST	
ADVANCED MICRO		5,992	COST	10,455
ARES CAPITAL CORP		13,396	COST	14,221
BLACKROCK CORE BOND TRUST		68,110	COST	69,585
BLACKROCK INC.		6,329	COST	6,494
BLACKROCK TAXABLE MUNI BOND		30,631	COST	35,938
CATERPILLAR INC		6,809	COST	7,099
CF INDUSTRIES HOLDINGS INC		6,795	COST	6,852
CHIPOTLE MEXICAN GRILL		5,720	COST	8,320
CRESTWOOD EQUITY PARTNERS		6,958	COST	7,915
ENCORE CAPITAL GROUP		6,424	COST	6,933
ETSY INC		6,783	COST	7,294
FEDEX		6,469	COST	9,866
FREEPORT-MCMORAN INC		6,659	COST	12,125
GENERAL ELECTRIC		13,153	COST	15,174
MARATHON PETROLEUM CORP		5,841	COST	6,700
MARVELL TECH GROUP		6,909	COST	7,559
NEW MOUNTAIN FINANCE CORP		6,852	COST	6,861
PIONEER NATURAL RESOURCES		6,907	COST	6,833
SHERWIN-WILLIAMS CO		5,828	COST	6,614
STARWOOD PROPERTY TRUST INC		7,184	COST	7,199
TAKE-TWO INTERACTIVE SOFTWARE		5,751	COST	8,935
TEXAS INSTRUMENTS INC		6,918	COST	6,893
UNITED PARCEL SERVICE INC		6,650	COST	6,568
ZOOM VIDEO COMMUNICATIONS INC		2,546	COST	5,734
TOTAL	\$ 488,398	\$ 540,447		\$ 684,988

Statement 7 - Form 990-PF, Part IX-A, Line 1 - Summary of Direct Charitable ActivitiesDescription

APPLY AND DEVOTE THE PROPERTY AND INCOME EXCLUSIVELY FOR
CHARITABLE, RELIGIOUS, SCIENTIFIC, LITERARY OR EDUCATIONAL
PURPOSES, EITHER DIRECTLY OR BY CONTRIBUTIONS TO
ORGANIZATIONS DULY AUTHORIZED TO CARRY SUCH ACTIVITIES;

SEE LIST OF GRANTS AWARDED IN SEC. XV 3.

Statement 8 - Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000Name of Manager

FOUNDATION IS FUNDED BY AN INVESTMENT PORTFOLIO
CONTRIBUTED BY CHRISTINE MOSER (DECEASED)

Statement 9 - Form 990-PF, Part XV, Line 2a - Name, Address and Email for ApplicationsDescription

C/O ERICSON, SCALISE & MANGAN, PC 860-229-0369
35 PEARL STREET NEW BRITAIN CT 06051
RSCALISE@ESMLAW.COM

Statement 10 - Form 990-PF, Part XV, Line 2b - Application Format and Required ContentsDescription

THE ORGANIZATION ACCEPTS THE COMMON GRANT APPLICATION FORM
DEVELOPED BY THE CONNECTICUT COUNCIL FOR PHILANTHROPY. THE
APPLICATION CAN BE FOUND ON THE ORGANIZATION'S WEBSITE:
CHRISTINEMOSERFOUNDATION.ORG

Form 990-PF, Part XV, Line 2c - Submission DeadlinesDescription

ONGOING

Form 990-PF, Part XV, Line 2d - Award Restrictions or LimitationsDescription

ROCKY HILL, CT AREA AND OTHER SELECTED ORGANIZATIONS.

Form **2220****Underpayment of Estimated Tax by Corporations**

OMB No. 1545-0123

Department of the Treasury
Internal Revenue Service**u** Attach to the corporation's tax return.**uGo to** www.irs.gov/Form2220 for instructions and the latest information.**2020**

Name

CHRISTINE E. MOSER FOUNDATION

Employer identification number

06-1353117

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1 Total tax (see instructions)	1	708
2a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
c Credit for federal tax paid on fuels (see instructions)	2c	
d Total. Add lines 2a through 2c	2d	
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty	3	708
4 Enter the tax shown on the corporation's 2019 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	408
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	408

Part II Reasons for Filing—Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6** ☐ The corporation is using the adjusted seasonal installment method.
- 7** ☐ The corporation is using the annualized income installment method.
- 8** ☐ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. Filers with installments due on or after April 1, 2020, and before July 15, 2020, see instructions	9	07/15/20	07/15/20	09/15/20	12/15/20
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Schedule A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	102	102	102	102
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	152			300
<i>Complete lines 12 through 18 of one column before going to the next column.</i>					
12 Enter amount, if any, from line 18 of the preceding column	12		50		
13 Add lines 11 and 12	13		50		300
14 Add amounts on lines 16 and 17 of the preceding column	14			52	154
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	152	50	0	146
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		0	52	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17	0	52	102	0
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18	50			

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17—no penalty is owed.

For Paperwork Reduction Act Notice, see separate instructions.

Form **2220** (2020)

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (<i>C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.</i>) See instructions	19	SEE WORKSHEET		
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2020 and before 7/1/2020	21			
22 Underpayment on line 17 x <u>Number of days on line 21</u> 366 x 5% (0.05)	22	\$	\$	\$
23 Number of days on line 20 after 6/30/2020 and before 10/1/2020	23			
24 Underpayment on line 17 x <u>Number of days on line 23</u> 366 x 3% (0.03)	24	\$	\$	\$
25 Number of days on line 20 after 9/30/2020 and before 1/1/2021	25			
26 Underpayment on line 17 x <u>Number of days on line 25</u> 366 x 3% (0.03)	26	\$	\$	\$
27 Number of days on line 20 after 12/31/2020 and before 4/1/2021	27			
28 Underpayment on line 17 x <u>Number of days on line 27</u> 365 x 3% (0.03)	28	\$	\$	\$
29 Number of days on line 20 after 3/31/2021 and before 7/1/2021	29			
30 Underpayment on line 17 x <u>Number of days on line 29</u> 365 x **%	30	\$	\$	\$
31 Number of days on line 20 after 6/30/2021 and before 10/1/2021	31			
32 Underpayment on line 17 x <u>Number of days on line 31</u> 365 x **%	32	\$	\$	\$
33 Number of days on line 20 after 9/30/2021 and before 1/1/2022	33			
34 Underpayment on line 17 x <u>Number of days on line 33</u> 365 x **%	34	\$	\$	\$
35 Number of days on line 20 after 12/31/2021 and before 3/16/2022	35			
36 Underpayment on line 17 x <u>Number of days on line 35</u> 365 x **%	36	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns	38	\$		2

*Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Form 2220 Worksheet		2020			
Form 2220	For calendar year 2020, or tax year beginning _____, and ending _____				
Name CHRISTINE E. MOSER FOUNDATION		Employer Identification Number 06-1353117			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	
Due date of estimated payment	<u>07/15/20</u>	<u>07/15/20</u>	<u>09/15/20</u>	<u>12/15/20</u>	
Amount of underpayment	_____	<u>52</u>	<u>102</u>	_____	
Prior year overpayment applied	<u>152</u>				
	1st Payment	2nd Payment	3rd Payment	4th Payment	5th Payment
Date of payment	_____	_____	_____	<u>12/15/20</u>	_____
Amount of payment	_____	_____	_____	<u>300</u>	_____

QTR	FROM	TO	UNDERPAYMENT	#DAYS	RATE	PENALTY
2	7/15/20	12/15/20	52	153	3.00	1
3	9/15/20	12/15/20	102	91	3.00	1
TOTAL PENALTY						2
						=====

CHRISTINE E. MOSER FOUNDATION

06-1353117 FORM 990-PF ESTIMATES

Form **990-W**
(Worksheet)Department of the Treasury
Internal Revenue Service**Estimated Tax on Unrelated Business Taxable
Income for Tax-Exempt Organizations**
(and on Investment Income for Private Foundations)u Go to www.irs.gov/Form990W for instructions and the latest information.
u Keep for your records. Do not send to the Internal Revenue Service.

OMB No. 1545-0047

2021

1	Unrelated business taxable income expected in the tax year	1	
2	Tax on the amount on line 1. See instructions for tax computation	2	
3	Alternative minimum tax for trusts. See instructions	3	
4	Total. Add lines 2 and 3	4	
5	Estimated tax credits. See instructions	5	
6	Subtract line 5 from line 4	6	
7	Other taxes. See instructions	7	
8	Total. Add lines 6 and 7	8	
9	Credit for federal tax paid on fuels. See instructions	9	
10a	Subtract line 9 from line 8. Note: If less than \$500, the organization is not required to make estimated tax payments. Private foundations, see instructions	10a	1,000
b	Enter the tax shown on the 2020 return. See instructions. Caution: If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c	10b	
c	2021 Estimated Tax. Enter the smaller of line 10a or line 10b. If the organization is required to skip line 10b, enter the amount from line 10a on line 10c	10c	1,000

		(a)	(b)	(c)	(d)	
11	Installment due dates. See instructions	11	05/17/21	06/15/21	09/15/21	12/15/21
12	Required installments. Enter 25% of line 10c in columns (a) through (d). But see instructions if the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a "large organization."	12				1,000
13	2020 Overpayment. See instructions	13				
14	Payment due (Subtract line 13 from line 12)	14				1,000

For Paperwork Reduction Act Notice, see instructions.

Form **990-W** (2021)

Form 990-PF		Underdistribution and Excess Distributions for Part XIII	2020
For calendar year 2020, or tax year beginning		, ending	
Name CHRISTINE E. MOSER FOUNDATION			Employer Identification Number 06-1353117

Undistributed Income Carryovers
Form 990-PF, Part XIII

Tax Year	Prior Undistributed Income			Current Year Decreases	Next Year Carryover	
	Nontaxable or Previously Taxed	Taxable in 2020	Total per Year		Nontaxable or Previously Taxed	Taxable in 2021
Years prior						
20 16						
20 17						
20 18						
2019						
2020			32,513	24,678		7,835
Total Carryover to Next Year						7,835

* Carryover amount includes 4942(a) amounts

Excess Distribution Carryovers
Form 990-PF, Part XIII

	Current Year	Next Year
Preceding Tax Year Excess Distributions	Decreases	Carryover
2015		
2016		
2017		
2018		
2019		
Current Year Excess Distribution Generated (2020)		0
Total Carryover to Next Year		0

Electronic Funds WithdrawalForm **990-PF****2020**

For calendar year 2020 or tax year beginning , ending

Name

Employer Identification Number

CHRISTINE E. MOSER FOUNDATION**06-1353117****Form Payment Record**

This record is included with the IRS electronic file for taxpayers who elect to pay their tax balances by electronic funds withdrawal

Routing Transit Number	211170101
Bank Account Number	10068766
Type of Account	CHECKING
Taxpayer Phone Number	860-229-0369
Requested Payment Date	11/15/21
Amount of Tax Payment	269

DO NOT SUBMIT THIS DOCUMENT TO THE IRS

Federal Statements**Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000**

<u>Name of Manager</u>	<u>Amount</u>
FOUNDATION IS FUNDED BY AN INVESTMENT PORTFOLIO CONTRIBUTED BY CHRISTINE MOSER (DECEASED)	\$
TOTAL	\$ <u>0</u>

Taxable Interest on Investments

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business</u>	<u>Exclusion Code</u>	<u>Postal Code</u>	<u>US Obs (\$ or %)</u>
INTEREST	\$ <u>44</u>		14		
TOTAL	\$ <u>44</u>				

Taxable Dividends from Securities

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business</u>	<u>Exclusion Code</u>	<u>Postal Code</u>	<u>US Obs (\$ or %)</u>
DIVIDENDS	\$ <u>16,404</u>		14		
TOTAL	\$ <u>16,404</u>				

Other Investment Income

<u>Description</u>	<u>Amount</u>	<u>Unrelated Business</u>	<u>Exclusion Code</u>	<u>Postal Code</u>
PORTFOLIO INCOME	\$ <u>1,239</u>			14
TOTAL	\$ <u>1,239</u>			